

PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

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Substitute for form 1448/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

Complete if Known

Application Number	09/827,451
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Filing Date	April 6, 2001
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First Named Inventor	Robert F. Terry
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Art Unit	2134
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Examiner Name	Gregory Allan Morse
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Attorney Docket Number	10007.000110
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U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code² (if known)			
		US-			
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Examiner Signature	<i>M. J. Smith</i>	Date Considered	12/30/04
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Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	09/827,451
Filing Date	April 6, 2001
First Named Inventor	Robert F. Terry
Group Art Unit	2133
Examiner Name	not yet known
Attorney Docket Number	10007.000110

Sheet	1	of	2
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete If Known	
		Application Number	09/827,451
		Filing Date	April 6, 2001
		First Named Inventor	Robert F. Terry
		Group Art Unit	2133
		Examiner Name	not yet known
		Attorney Docket Number	10007.000110
Sheet	2	of	2

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
mdd	F3	"Getting to Know Windows 95 with Regmon", WINDOWS PROFESSIONAL, January 1999, Retrieve from the Internet: <URL:http://msdn.microsoft.com/library/default.asp?url=/library/en-us/dnwinpro99/html/w9p9914.asp>	
mdd	G3	"Selecting audit events for Windows NT 4.0 registry key", CARNEGIE MELLON SOFTWARE ENGINEERING INSTITUTE, CERT COORDINATION CENTER, March 17, 1999, Retrieved from the Internet <URL:http://www.cert.org/security-improvement/implementations/i028.04html>	

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09/827,451

April 6, 2001

Robert F. Terry

2133

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 Class Code?
 TRADEMARK OFFICE
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 919,258 B1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete if Known

Application Number	09/827,451
Filing Date	April 6, 2001
First Named Inventor	Robert F. Terry
Group Art Unit	2133
Examiner Name	not yet known
Attorney Docket Number	10007.000110

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
mop	F1	"Mechanism For Trusted Computing Base Definition And Checking", IBM Technical Disclosure Bulletin; February 1992, pgs. 188 – 191, Vol. 34 No. 9; IBM Corp., Armonk, NY, USA.	
mop	G1	WILLIAM WONG, "Remote-Control Registry", Review XP-001041962; BYTE, May 1998, total pgs: 4 (3 sheets)	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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of

2

Complete if Known**Application Number**

09/827.451

Filing Date

April 6, 2001

First Named Inventor

Robert F. Terry

Group Art Unit

2133

Examiner Name

not yet known

Attorney Docket Number

10007.000110

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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First Named Inventor	Robert F. Terry
Group Art Unit	2133
Examiner Name	not yet known
Attorney Docket Number	10007.000110

(use as many sheets as necessary)

Sheet	1	of	2
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Attorney Docket Number	10007.000110
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Sheet 2 of 2

Complete if Known	
Application Number	09/827,451
Filing Date	April 6, 2001
First Named Inventor	Robert F. Terry
Group Art Unit	2133
Examiner Name	not yet known
Attorney Docket Number	10007.000110

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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12/28/04

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